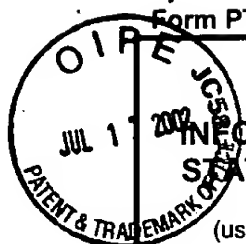


L. Paul  
7-23-02  
#2/IDS



Form PTO/SB/08A (Modified)

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if known</b>	
				Application Number	09/847,736
				Filing Date	May 1, 2001
				First Named Inventor	Dan Sanchez
				Group Art Unit	3739
				Examiner Name	Steven Post
				Attorney Docket Number	155695-0203 (P033)
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U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code			
K3	1.	6,309,397		Julian, et al.	10-30-2001	
K3	2.	6,206,903		Ramans	03-27-2001	
K3	3.	6,312,435		Wallace, et al.	11-06-2001	
K3	4.	6,331,181		Tierney, et al.	12-18-2001	
K3	5.	5,957,902		Teves	09-28-1999	
K3	6.	6,246,200		Blumenkranz, et al.	06-12-2001	
K3	7.	5,906,630		Anderhub et al.	05-25-1999	
K3	8.	5,920,395		Schultz	07-06-1999	
K3	9.	5,951,587		Qureshi et al.	09-14-1999	
K3	10.	5,807,378		Jensen et al.	09-15-1998	
K3	11.	5,951,475		Gueziec et al.	09-14-1999	
K3	12.	5,904,702		Ek et al.	05-18-1999	
K3	13.	5,911,036		Wright et al.	06-08-1999	
K3	14.	5,954,731		Yoon	09-21-1999	
K3	15.	5,887,121		Funda et al.	03-23-1999	
K3	16.	5,810,880		Jensen et al.	09-22-1998	
K3	17.	5,980,782		Hershkowitz et al.	11-09-1999	
K3	18.	5,814,038		Jensen et al.	09-29-1998	
K3	19.	5,859,934		Green	01-12-1999	
K3	20.	6,346,072		Cooper	02-12-2002	
K3	21.	6,132,368		Cooper	10-17-2000	
K3	22.	5,984,932		Yoon	11-16-1999	
K3	23.	6,364,888		Nieneyer, et al.	04-02-2002	
K3	24.	5,808,665		Green	09-15-1998	
K3	25.	5,766,126		Anderson	06-16-1998	
K3	26.	5,792,178		Welch et al.	08-11-1998	
K3	27.	6,371,952		Madhani, et al.	04-16-2002	
K3	28.	5,827,319		Carlson, et al.	10-27-1998	
K3	29.	5,792,135		Madhani et al.	08-11-1998	
K3	30.	5,807,377		Madhani et al.	09-15-1998	
K3	31.	5,797,900		Madhani et al.	08-11-1998	
K3	32.	5,855,583		Wang et al.	01-05-1999	
K3	33.	5,727,569		Benetti et al.	03-17-1998	
K3	34.	5,762,458		Wang et al.	06-09-1998	

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K8	35.	5,836,869	Kudo et al.	11-17-1998
K3	36.	5,737,711	Abe	04-07-1998
K8	37.	5,696,574	Schwaegerle	12-09-1997
K8	38.	5,844,824	Newman et al.	12-01-1998
K8	39.	5,860,995	Berkelaar	01-19-1999
K8	40.	5,825,982	Wright et al.	10-20-1998
K8	41.	5,817,084	Jensen	10-06-1998
K8	42.	5,800,423	Jensen	09-01-1998
K8	43.	5,931,832	Jensen	08-03-1999
K8	44.	6,080,181	Jensen, et al.	06-27-2000
K8	45.	5,649,956	Jensen et al.	06-22-1997
K8	46.	5,544,654	Murphy et al.	08-13-1996
K8	47.	6,120,433	Mizuno et al.	09-19-2000
K8	48.	5,636,259	Khutoryansky et al.	06-03-1997
K8	49.	6,226,566	Funda, et al.	05-01-2001
K8	50.	5,696,837	Green	12-09-1997
K8	51.	5,882,206	Gillio	03-16-1999
K8	52.	5,749,362	Funda et al.	05-12-1998
K8	53.	5,572,999	Funda et al.	11-12-1996
K8	54.	5,718,038	Takiar et al.	02-17-1998
K8	55.	5,562,503	Ellman et al.	10-08-1996
K8	56.	5,876,325	Mizuno et al.	03-02-1999
K8	57.	5,676,673	Ferre et al.	10-14-1997
K8	58.	5,807,284	Foxlin	09-15-1998
K8	59.	5,631,973	Green	05-20-1997
K8	60.	5,490,117	Oda et al.	02-06-1996
K8	61.	5,458,574	Machold et al.	10-17-1995
K8	62.	5,443,484	Kirsch, et al.	08-22-1995
K8	63.	5,813,813	Daum et al.	09-29-1998
K8	64.	5,490,843	Hildwein, et al.	02-13-1996
K8	65.	5,553,198	Wang et al.	09-03-1996
K8	66.	5,422,521	Neer et al.	06-06-1995
K8	67.	5,779,623	Bonnell	07-14-1998
K8	68.	5,898,599	Massie, et al.	04-27-1999
K8	69.	5,776,126	Wilk et al.	07-07-1998
K8	70.	5,343,385	Joskowicz et al.	08-30-1994
K8	71.	5,609,560	Ichikawa et al.	03-11-1997
K8	72.	5,382,885	Salcudean et al.	01-17-1995
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K8	74.	5,458,547	Teraoka et al.	10-17-1995
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76.	5,395,369	McBrayer et al.	03-07-1995
77.	5,410,638	Colgate et al.	04-25-1995
78.	6,106,511	Jensen	08-22-2000
79.	5,417,701	Holmes	05-23-1995
80.	5,512,919	Araki	04-30-1996
81.	5,309,717	Minch	05-10-1994
82.	5,320,630	Ahmed	06-14-1994
83.	5,735,290	Sterman et al.	04-07-1998
84.	5,451,924	Massimino et al.	09-19-1995
85.	5,629,594	Jacobus et al.	05-13-1997
86.	5,304,185	Taylor	04-19-1994
87.	4,491,135	Klein	01-01-1985
88.	5,397,323	Taylor et al.	03-14-1995
89.	5,337,732	Grundfest et al.	08-16-1994
90.	5,282,806	Haber	02-01-1994
91.	5,515,478	Wang	05-07-1996
92.	5,878,193	Wang et al.	03-02-1999
93.	5,657,429	Wang et al.	08-12-1997
94.	5,754,741	Wang et al.	05-19-1998
95.	5,476,010	Fleming et al.	12-19-1995
96.	5,257,999	Slanetz, Jr.	11-02-1993
97.	5,417,210	Funda et al.	05-23-1995
98.	5,749,362	Funda et al.	05-12-1998
99.	5,305,427	Nagata	04-19-1994
100.	5,221,283	Chang	06-22-1993
101.	5,201,743	Haber et al.	04-13-1993
102.	5,339,799	Kami et al.	08-23-1994
103.	5,626,595	Sklar et al.	05-06-1997
104.	5,357,962	Green	10-25-1994
105.	5,345,538	Narayannan et al.	09-06-1994
106.	5,271,384	McEwen et al.	12-21-1993
107.	6,259,806	Green, et al.	07-10-2001
108.	6,223,100	Green	04-24-2001
109.	5,228,429	Hatano	07-20-1993
110.	5,289,365	Caldwell et al.	02-22-1994
111.	5,230,623	Guthrie et al.	07-27-1993
112.	5,371,536	Yamaguchi	12-06-1994
113.	5,408,409	Glassman et al.	04-18-1995
114.	5,201,325	McEwen et al.	4-13-1993
115.	5,187,574	Kosemura et al.	02-16-1993
116.	5,184,601	Putman	02-09-1993

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117.	5,182,641	Diner et al.	01-26-1993
118.	6,231,526	Taylor, et al.	05-15-2001
119.	6,024,695	Taylor et al.	02-15-2000
120.	6,201,984	Funda, et al.	03-13-2001
121.	5,630,431	Taylor	05-20-1997
122.	5,402,801	Taylor	04-04-1995
123.	5,950,629	Taylor et al.	09-14-1999
124.	5,445,166	Taylor	08-29-1995
125.	5,695,500	Taylor et al.	12-09-1997
126.	5,279,309	Taylor et al.	01-18-1994
127.	5,313,306	Kuban et al.	05-17-1994
128.	5,166,513	Keenan et al.	11-24-1992
129.	5,300,926	Stoeckl	04-05-1994
130.	5,388,987	Badoz et al.	02-14-1995
131.	5,368,015	Wilk	11-29-1994
132.	5,217,003	Wilk	06-08-1993
133.	5,506,912	Nagasaki et al.	04-09-1996
134.	5,145,227	Monford, Jr.	09-08-1992
135.	5,131,105	Harrawood et al.	07-21-1992
136.	4,996,975	Nakamura	03-05-1991
137.	5,086,401	Glassman et al.	02-04-1992
138.	5,299,288	Glassman et al.	03-29-1994
139.	5,431,645	Smith et al.	07-11-1995
140.	5,343,391	Mushabac	08-30-1994
141.	5,097,829	Quisenberry	03-24-1992
142.	5,175,694	Amato	12-29-1992
143.	5,368,428	Hussey et al.	11-29-1994
144.	5,091,656	Gahn	02-25-1992
145.	5,105,367	Tsuchihashi et al.	04-14-1992
146.	5,289,273	Lang	02-22-1994
147.	5,020,001	Yamamoto et al.	05-28-1991
148.	5,201,325	McEwen et al.	04-13-1993
149.	5,271,384	McEwen et al.	12-21-1993
150.	4,980,626	Hess et al.	12-25-1990
151.	4,965,417	Massie	10-23-1990
152.	5,098,426	Sklar et al.	03-24-1992
153.	5,123,095	Papadopoulos et al.	06-16-1992
154.	4,930,494	Takehana et al.	06-05-1990
155.	5,109,499	Inagami et al.	04-28-1992
156.	4,883,400	Kuban et al.	11-28-1989
157.	5,251,127	Raab	10-05-1993

Examiner: KWS Date Considered: 9/23/02

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158.	5,305,203	Raab	04-19-1994
159.	5,097,839	Allen	03-24-1992
160.	4,969,890	Sugita et al.	11-13-1990
161.	5,442,728	Kaufman et al.	08-15-1995
162.	4,979,933	Runge	12-25-1990
163.	4,979,949	Matsen, III et al.	12-25-1990
164.	5,403,319	Matsen, III et al.	04-04-1995
165.	5,236,432	Matsen, III et al.	08-17-1993
166.	5,571,110	Matsen, III et al.	11-05-1996
167.	5,046,375	Salisbury Jr., et al.	09-10-1991
168.	5,065,741	Uchiyama et al.	11-19-1991
169.	4,989,253	Liang et al.	01-29-1991
170.	5,019,968	Wang et al.	05-28-1991
171.	4,949,717	Shaw	08-21-1990
172.	4,954,952	Ubhayakar et al.	09-04-1990
173.	4,969,709	Sogawa et al.	11-13-1990
174.	4,815,450	Patel	03-28-1989
175.	5,303,148	Mattson et al.	04-12-1994
176.	4,853,874	Iwamoto et al.	08-01-1989
177.	4,854,301	Nakajima	08-08-1989
178.	5,142,930	Allen et al.	09-01-1992
179.	4,815,006	Andersson et al.	03-21-1989
180.	4,794,912	Lia	01-03-1989
181.	4,852,083	Niehaus et al.	07-25-1989
182.	4,762,455	Coughlan et al.	08-09-1988
183.	4,863,133	Bonnell	09-05-1989
184.	4,860,215	Seraji	08-22-1989
185.	4,837,734	Ichikawa et al.	06-06-1989
186.	4,791,940	Hirschfeld et al.	12-20-1988
187.	5,455,766	Scheller et al.	10-03-1995
188.	4,791,934	Brunnett	12-20-1988
189.	4,728,974	Nio et al.	03-01-1988
190.	5,078,140	Kwoh	01-07-1992
191.	4,655,257	Iwashita	04-07-1987
192.	4,945,479	Rusterholz et al.	07-31-1990
193.	4,672,963	Barken	06-16-1987
194.	4,635,292	Mori et al.	01-06-1987
195.	4,676,243	Clayman	06-30-1987
196.	4,616,637	Caspari et al.	10-14-1986
197.	4,635,479	Salisbury Jr., et al.	01-13-1987
198.	4,586,398	Yindra	05-06-1986

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199.	4,604,016	Joyce	08-05-1986
200.	4,641,292	Tunnell et al.	02-03-1987
201.	4,503,854	Jako	03-12-1985
202.	4,633,389	Tanaka et al.	12-30-1986
203.	4,624,011	Watanabe et al.	11-18-1986
204.	4,517,963	Michel	05-21-1985
205.	4,456,961	Price et al.	06-26-1984
206.	4,401,852	Noso et al.	08-30-1983
207.	4,523,884	Clement et al.	06-18-1985
208.	4,460,302	Moreau et al.	07-17-1984
209.	4,367,998	Causer	01-11-1983
210.	4,474,174	Petruzzi	10-02-1984
211.	4,221,997	Flemming	09-09-1980
212.	4,058,001	Waxman	11-15-1977
213.	4,128,880	Cray, Jr.	12-05-1978
214.	5,196,688	Hesse et al.	03-23-1993
215.	3,280,991	Melton et al.	10-25-1966
216.	3,171,549	Orloff	03-02-1965
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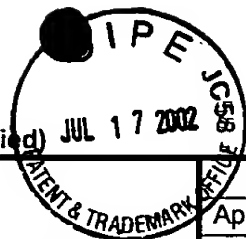
## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code			
	<del>218..</del>		<del>WO 98/25666</del>		<del>Cooper et al.</del>	<del>06-18-1998</del>	
	<del>219..</del>		<del>WO 97/15240</del>		<del>Brant et al.</del>	<del>05-01-1997</del>	
	<del>220..</del>		<del>DE 4310842 G2</del>		<del>Grelowicz et al.</del>	<del>01-25-1995</del>	
	<del>221..</del>		<del>WO 94/26167</del>		<del>Jensen</del>	<del>11-24-1994</del>	
	<del>222..</del>		<del>WO 94/18881</del>		<del>Sterman et al.</del>	<del>09-01-1994</del>	
	<del>223..</del>		<del>WO 92/20295</del>		<del>Koivukangas</del>	<del>11-26-1992</del>	
	<del>224..</del>		<del>DE U 9204118.3</del>		<del>Zeiss</del>	<del>07-02-1992</del>	
	<del>225..</del>		<del>EP 0770738 A2</del>		<del>Green</del>	<del>06-04-1997</del>	
	<del>226..</del>		<del>WO 93/13916</del>		<del>Green</del>	<del>07-22-1993</del>	
	<del>227..</del>		<del>WO 91/04711</del>		<del>Henriot et al.</del>	<del>04-18-1991</del>	
	<del>228..</del>		<del>EP 0424687 A1</del>		<del>Baum et al.</del>	<del>05-02-1991</del>	
	<del>229..</del>		<del>EP 0239409 A1</del>		<del>Funakubo et al.</del>	<del>09-30-1987</del>	

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	230	"Endocorporeal Surgery Using Remote Manipulators" (NED S. RASOR and J.W. SPICKLER) Remotely Manned Systems – Exploration and Operation in Space, California Institute of Technology 1973
	231	"A Survey Study of Teleoperators, Robotics, and Remote Systems Technology" (ARTHUR D. ALEXANDER, III) Remotely Manned Systems – Exploration and Operation in Space, California Institute of Technology 1973
	232	"Impacts of Telemation on Modern Society" (ARTHUR D. ALEXANDER, III), On the Theory and Practice of Robots and Manipulators Volume II, 1974
	233	Transcript of a video presented by SRI at the 3rd World Congress of Endoscopic Surgery in Bordeaux on June 18-20, 1992, in Washington on April 9, 1992, and in San Diego, CA on June 4-7, 1992 entitled "Telepresence Surgery - The Future of Minimally Invasive Medicine"
	234	Statutory Declaration of Dr. Philip S. Green, presenter of the video entitled "Telepresence Surgery - The Future of Minimally Invasive Medicine"
	235	Abstract of a presentation "Telepresence: Advanced Teleoperator Technology for Minimally Invasive Surgery" (P. GREEN et al.) given at the 3rd World Congress of Endoscopic Surgery in Bordeaux, June 18-20, 1992
	236	Abstract of a presentation "Telepresence: Advanced Teleoperator Technology for Minimally Invasive Surgery", (P. GREEN et al.) given at "Medicine meets virtual reality" symposium in San Diego, June 4-7, 1992
	237	Abstract of a presentation "Camera Control for Laparoscopic Surgery by Speech-Recognizing Robot: Constant Attention and Better Use of Personnel" (COLIN BESANT et al.) given at the 3rd World Congress of Endoscopic Surgery in Bordeaux, June 18-20, 1992
	238	"A Literature Review: Robots in Medicine" (B. PREISING et al.) IEEE June 1991
	239	"Robots for the Operating Room" (ELIZABETH CORCORAN), The New York Times, Sunday July 19, 1992, Section 3, Page 9, Column 1

Examiner:

Date Considered:

9/23/02

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✓	240.	"Taming the Bull: Safety in a Precise Surgical Robot" (RUSSELL H. TAYLOR et al.), IEEE 1991
	241.	<del>Abstract of a presentation "Design Considerations of a New Generation Endoscope Using Robotics and Computer Vision Technology" (S.M. KRISHNAN et al.) given at the 3rd World Congress of Endoscopic Surgery in Bordeaux, June 18-20, 1992</del>
	242.	<del>Abstract of a presentation "3-D Vision Technology Applied to Advanced Minimally Invasive Surgery Systems" given at the 3rd World Congress of Endoscopic Surgery in Bordeaux, June 18-20, 1992</del>
	243.	<del>"Analysis of the Surgeon's Grasp for Telerobotic Surgical Manipulation" (FRANK TENDICK and LAWRENCE STARK), IEEE 1989</del>
	244.	<del>"Kinematic Control and Visual Display of Redundant Teleoperators" (HARDI DAS et al.), IEEE 1989</del>
	245.	<del>"A New System for Computer Assisted Neurosurgery" (S. LAVALLEE), IEEE 1989</del>
	246.	<del>"An Advanced Control Micromanipulator for Surgical Applications" (BEN GAYED et al.), Systems Science Vol. 13 1987</del>
	247.	<del>"Force Feedback-Based Telemanipulation for Robot Surgery on Soft Tissues" (A.M. SABATINI et al.), IEEE 1989</del>
	248.	<del>"Six-Axis Bilateral Control of an Articulated Slave Manipulator Using a Cartesian Master Manipulator" (MASAO INOUE), Advanced Robotics 1990</del>
	249.	<del>"On a Micro-Manipulator for Medical Application - Stability Consideration of its Bilateral Controller" (S. MAJIMA et al.), Mechatronics 1991</del>
	250.	<del>"Anthropomorphic Remote Manipulator", NASA Tech Briefs 1991</del>
	251.	<del>"Controlling Remote Manipulators through Kinesthetic Coupling" (A.K. BEJCZY), Computers in Mechanical Engineering 1983</del>
	252.	<del>"Design of a Surgeon Machine Interface for Teleoperated Microsurgery" (STEVE CHARLES M.D. et al.), IEEE 1989</del>

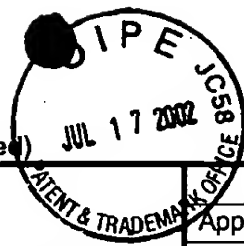
Examiner:

Date Considered:

9/23/02

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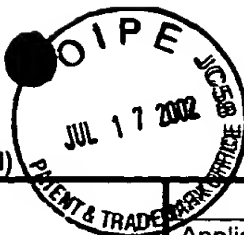


INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)				Complete if known	
				Application Number	09/847,736
Filing Date	May 1, 2001				
First Named Inventor	Dan Sanchez				
Group Art Unit	3739				
Examiner Name	Steven Post				
Attorney Docket Number	155695-0203 (P033)				
Sheet	9	of	10		

✓	253.	<del>"A Robot in an Operating Room: A Bull in a China Shop" (J.M. DOLAN et al.), IEEE 1987</del>
	254.	<del>Abstract of a presentation "Concept and Experimental Application of a Surgical Robotic System the Steerable MIS Instrument SMI" given at the 3rd World Congress of Endoscopic Surgery in Bordeaux, June 18-20, 1992</del>
	255.	<del>Abstract of a presentation given at the 3rd World Congress of Endoscopic Surgery in Bordeaux, June 18-20, 1992, entitled "Session 15/1"</del>
	256.	<del>Abstract of a presentation "A Pneumatic Controlled Sewing Device for Endoscopic Application the MIS Sewing Instrument MSI" given at the 3rd World Congress of Endoscopic Surgery in Bordeaux, June 18-20, 1992</del>
	257.	<del>Abstract of a presentation given at the 3rd World Congress of Endoscopic Surgery in Bordeaux (18 to 20 June 1992), entitled "Session 15/2"</del>
	258.	<del>Abstract of a presentation given at the 3rd World Congress of Endoscopic Surgery in Bordeaux (18 to 20 June 1992), entitled "Session 15/4"</del>
	259.	<del>Abstract of a presentation given at the 3rd World Congress of Endoscopic Surgery in Bordeaux (18 to 20 June 1992), entitled "Session 15/5"</del>
	260.	<del>"Properties of Master-Slave Robots" (C. Vibet), Motor-con 1987</del>
	261.	<del>"A New Microsurgical Robot System for Corneal Transplantation" (NORIYUKI TEJIMA), Precision Machinery 1988</del>
	262.	<del>"Human/Robot Interaction via the Transfer of Power and Information Signals - Part I: Dynamics and Control Analysis" (H. KAZEROONI), IEEE 1989</del>
	263.	<del>"Human/Robot Interaction via the Transfer of Power and Information Signals - Part II: An Experimental Analysis" (H. KAZEROONI), IEEE 1989</del>
	264.	<del>"Power and Impedance Scaling in Bilateral Manipulation" (J. EDWARD COLGATE), IEEE 1991</del>
	265.	<del>"S.M.O.S.; Stereotaxial Microtelemanipulator for Ocular Surgery" (AICHA GUERROUAD and PIERRE VIDAL), IEEE 1989</del>

Examiner: Kurt StDate Considered: 7/23/02

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Sheet	10	of	10	Attorney Docket Number	155695-0203 (P033)

266	<del>"Motion Control for a Sheep Shearing Robot" (JAMES P. TREVELYAN et al.), Proceedings of the 1st International Symposium on Robotics Research, MIT, Cambridge, Massachusetts, USA, 1983</del>
267	<del>"Robots and Telechairs" (M.W. THRING), Wiley 1983</del>
268	<del>"Industrial Robotics (GORDON M. MAIR), Prentice Hall 1988 (pp. 41-43, 49-50, 54, 203-209 enclosed)</del>
269	<del>"Student Reference Manual for Electronic Instrumentation Laboratories" (WOLF et al.), Prentice Hall, New Jersey 1990, pp. 498 and 499</del>
270	<del>"Surgery in Cyberspace" (TAUBES), Discover Magazine, December 1994</del>

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